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DATE: December 1, 2003

TOTAL # OF PAGES:
(INCLUDING THIS COVER SHEET) 12TO: Office of Initial Patent Examination's Filing Receipt
Corrections

FAX #: (703) 746-9195

FIRM NAME: U.S. Patent and Trademark Office

PHONE #: (703) 308-1202

FROM: Gary M. Polumbus

FAX #: (303) 629-3450

PHONE #: (303) 628-1500

EMAIL: polumbus.gary@dorsey.com

COMMENTS:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Thomas G. Faludy et al.

Appln. No.: 10/643,444

Filing Date: August 18, 2003

Title: RETRACTABLE AWNING WITH LIGHT
AND SOUND FEATURES

Atty. Docket No.: 2051/US

Examiner: Not Yet Assigned

Group Art Unit: 3634

Confirmation No.: 4412

CERTIFICATE OF TRANSMISSION

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING FACSIMILE TRANSMITTED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE
Fax No. 703-746-9195, ON Dec. 1, 2003.

G. Sawatzke
(PERSON MAKING THE TRANSMISSION OR ATTORNEY OF RECORD)

Attached Correspondence: Request for Corrected Filing Receipt, Filing Receipt dated November 17, 2003, with correction noted thereon, and photocopies of the following documents: Certificate of Mailing by Express Mail, Utility Application Transmittal, Express Mail label (EV 156 971 335 US), and return post card all dated August 18, 2003.

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REFERENCE # 3707/443665-67/2051/US

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PAGE 1/12 * RCVD AT 12/1/2003 9:59:30 AM [Eastern Standard Time] * SVR:USPTO-EFXXRF-4/2 * DNIS:7469195 * CSID:3036293450 * DURATION (mm-ss):02:40

PATENT
Attorney Docket No. 2051/US
Via Facsimile (703) 746-9195

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Thomas G. Faludy et al.

Application No. 10/643,444

Filed: August 18, 2003

For: RETRACTABLE AWNING WITH LIGHT AND
SOUND FEATURES

Confirmation No.: 4412

Group Art Unit: 3634

Examiner: Not Yet Assigned

Commissioner for Patents
Attn: Office of Initial Patent
Examination's Filing Receipt Corrections
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)

Date of Fax: Dec. 1, 2003

I hereby certify that this paper or fee is being facsimile transmitted to the
USPTO (Fax No. 1-703-746-9195).

Name: Gerry Sawatzke

Signature: G. Sawatzke

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

It has been noted that the Filing Receipt dated November 17, 2003, contains an error in the filing date. A copy of the Filing Receipt with the correction noted thereon is attached. Also, enclosed are copies of the following documents: Certificate of Mailing by Express Mail, Utility Application Transmittal, Express Mail label (EV 156 971 335 US), and return postcard all dated August 18, 2003.


Please note, the filing date of August 19, 2003 is incorrect. The correct filing date is August 18, 2003.

Applicant respectfully requests the issuance of a corrected filing receipt indicating the correct filing date of August 18, 2003.

Application No. 10/643,444
Attorney Docket No. 2051/US

It is believed no fee is due for this submission. However, if any fees are due, the Office is hereby authorized to use our Deposit Account No. 04-1415.

Dated: Nov. 30, 2003


Gary M. Polumbus, Reg. No. 25,364
DORSEY & WHITNEY LLP
370 Seventeenth Street, Suite 4700
Denver, CO 80202-5647
Tel.: (303) 629-3400
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USPTO Customer No. 20686

Enclosures



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLMS	IND CLMS
10/643,444	08/18/2003 08/18/2003	3634	750	2051/US	9	5	1

20686
DORSEY & WHITNEY, LLP
INTELLECTUAL PROPERTY DEPARTMENT
370 SEVENTEENTH STREET
SUITE 4700
DENVER, CO 80202-5647

CONFIRMATION NO. 4412

FILING RECEIPT

OC000000011270681

Date Mailed: 11/17/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Thomas G. Faludy, Westminster, CO;
Christopher M. White, Frederick, CO;

Assignment For Published Patent Application

Carefree/Scott Fetzer Company, Broomfield, CO;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 11/13/2003

Projected Publication Date: 02/24/2005

Non-Publication Request: No

Early Publication Request: No

Title

Retractable awning with light and sound features

Preliminary Class

160

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

EV156971335US

PATENT
Attorney Docket No. 2051/US
Express Mail Label No. EV156971335US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Thomas G. Faludy and Christopher M. White

Appln. No. Not Yet Assigned

Filed: Herewith

For: Retractable Awning With Light and Sound
Features

Confirmation No.: Not Yet Assigned

Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

CERTIFICATE OF MAILING BY EXPRESS MAIL

Mail Stop: Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The undersigned hereby certifies that the following documents:

1. Utility Patent Application Transmittal;
2. Application Data Sheet;
3. Declaration for Patent Application;
4. Power of Attorney;
5. Statement Under 37 CFR 3.73(b), and copy of Assignment;
6. Recordation Cover Sheet for Assignment, and Assignment;
7. Specification (11 pgs);
8. Formal Drawings (9 pgs)
9. Check for \$790.00;
10. Certificate of Mailing by Express Mail; and
11. Return Card,

relating to the above application, were deposited as "Express Mail," Mailing Label No. EV156971335US with the United States Postal Service, addressed to Mail Stop: Patent Application, Commissioner of Patents, Alexandria, VA 22313-1450, on this 18 day of Aug, 2003.



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UTILITY PATENT APPLICATION TRANSMITTAL
(Larg Entity)*(Only for new nonprovisional applications under 37 CFR 1.53(b))*Docket No.
2051/US

Total Pages in this Submission

TO THE COMMISSIONER FOR PATENTSMail Stop Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

RETRACTABLE AWNING WITH LIGHT AND SOUND FEATURES

and invented by:

Thomas G. Faludy and Christopher M. WhiteIf a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☐ Continuation-In-part (CIP) of prior application No.: _____

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Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 11 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☐ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)*(Only for new nonprovisional applications under 37 CFR 1.53(b))*Docket No.
2051/US

Total Pages in this Submission

Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☒ Formal Number of Sheets 9
- b. ☐ Informal Number of Sheets _____
4. ☒ Oath or Declaration
- a. ☒ Newly executed (original or copy) ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)
7. ☒ Application Data Sheet (See 37 CFR 1.76)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statement(s) Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☒ 37 CFR 3.73(B) Statement (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail (Specify Label No.): EV156971335US

**UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*Docket No.
2051/US

Total Pages in this Submission

Accompanying Application Parts (Continued)

- 17.
- ☐
- Additional Enclosures (please identify below):

Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)

- 18.
- ☐
- Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

Warning

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

UTILITY PATENT APPLICATION TRANSMITTAL
(L rg Entity)*(Only for new nonprovisional applications under 37 CFR 1.53(b))*Docket No.
2051/US

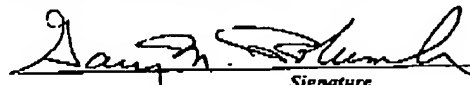
Total Pages in this Submission

Fee Calculation and Transmittal**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	5	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	1	- 3 =	0	x \$84.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$750.00
OTHER FEE (specify purpose) Assignment					\$40.00
TOTAL FILING FEE					\$790.00

- ☒ A check in the amount of \$790.00 to cover the filing fee is enclosed.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 04-1415 as described below.
- ☐ Charge the amount of _____ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: August 18, 2003


Signature
Gary M. Polumbus, Reg. No. 25,364
USPTO Customer No. 20686

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Attorney Docket No. 2051/US

Client No. 443665-67

Inventors: Thomas G. Faludy and Christopher M. White

Title: Retractable Awning with Light and Sound Features

1. Utility Patent Application Transmittal;
2. Application Data Sheet;
3. Declaration for Patent Application;
4. Power of Attorney;
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22240 U.S. PTO

10/643444



06/19/03

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